

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				Application Number	10/660,289
				Filing Date	September 11, 2003
				First Named Inventor	Brent Russell Phillips
				Art Unit	3731
				Examiner Name	Not Yet Assigned
Sheet	2	of	2	Attorney Docket Number	AUS920030563US1

<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		Frederic Maistre, "RE: More JORAM Questions", ( <a href="http://www.objectweb.org/wws/arc/joram/2000-09/msg00007.html">http://www.objectweb.org/wws/arc/joram/2000-09/msg00007.html</a> )			
		"IBM MQSeries: Support: APARs: PTF SF5876"			
		King, RP, "Persistent Message Mover Non-wait Protocol", U.S. Pat. App. serial 09/482,477, filed Jan. 12, 2000 (assignee IBM, IBM Dkt No. YOR919990445US1).			
		Vincent, R. and Roy, M., "Non-Persistent Service Support In Transactional Application Support Environments", U.S. Pat. App serial 10/350,672, filed Jan. 24, 2003 (assignee IBM, IBM Dkt No. POU920020127US1).			

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.  
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Brent Russell Phillips

Serial No.: 10/660,289

Date Filed: September 11, 2003

Title: Methods, Systems, and Media  
to Enhance Persistence of a  
Message

§ Group Art Unit: 3731  
§  
§  
§ Examiner: Not Yet Assigned  
§ Confirmation No.:  
§ IBM Docket No.: AUS920030563US1  
§ Atty Docket No.: IBM.4013.PAT

COMMISSIONER FOR PATENTS  
P. O. Box 1450  
Alexandria, VA 22313-1450

April 8, 2004

**CERTIFICATE OF MAILING**

I hereby certify that, on the date shown below, this correspondence is being deposited with the U. S. Postal Service with sufficient postage as First Class mail in an envelope addressed to Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

*Pamela M. Gerich*

Signature

*April 8, 2004*

Date

**TRANSMITTAL**

Dear Sirs:

Transmitted herewith are:

- Information Disclosure Statement (2 pages);
- Forms PTO-1449 (2 pages);
- Reference entitled "Re: More JORAM Questions" (2 pages);
- Reference entitled "IBM MQSeries: Support: APARs: PTF SF58766" (31 pages);
- Reference entitled "Persistent Message Mover Non-Wait Protocol" (23 pages total: 22 pages of text and 1 page of figures); and
- Reference entitled "Non-Persistent Service Support in Transactional Application Support Environments" (35 pages total: 27 pages of text and 8 pages of figures).

Respectfully submitted,

Jeffrey S. Schubert, Reg. No. 43,098  
Customer No.: 38518  
SCHUBERT & ASSOCIATES, PLLC  
6013 Cannon Mtn Dr, S14  
Austin, Texas 78749  
(512) 288-6635 (Telephone)  
(512) 301-7301 (Facsimile)  
Attorney for Applicant(s)



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	§	
Brent Russell Phillips	§	
Serial No.:	§	Group Art Unit: 3731
10/660,289	§	Examiner: Not Yet Assigned
Date Filed:	§	IBM Docket No.: AUS920030563US1
September 11, 2003	§	Intellectual Property Law Dept.
Title: Methods, Systems, and Media	§	International Business Machines Corp.
To Enhance Persistence of a	§	11400 Burnet Rd
Message	§	Austin, TX 78758

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications, or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

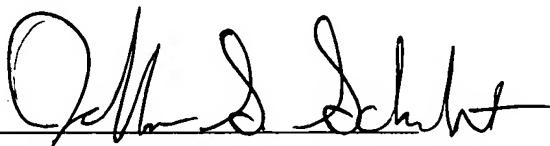
The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

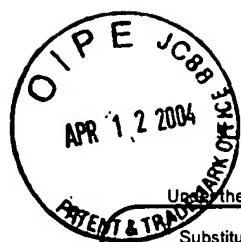
A copy of each of the items on PTO-1449, except published U.S. applications and patents, is supplied herewith.

Further, Applicant believes that no fee is due for this filing in accordance with 37 CFR 1.97(b)(3) because this Information Disclosure Statement is being filed before a first Office action on the merits.

Respectfully submitted,

Date: April 18, 2004

By:   
Jeffrey S. Schubert  
Reg. No. 43,098  
Schubert & Associates, PLLC  
6013 Cannon Mtn. Dr., S14  
Austin, Texas 78749  
Tel. (512) 288-6635  
Fax (512) 301-7301  
ATTORNEY FOR APPLICANT



APR 12 2004

~~Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.~~

Approved for use through 04/30/2003. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PTO/SB/08A (04-03)

**Substitute for form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet 1 of 2

**Complete if Known**

Application Number	10/660,289
Filing Date	September 11, 2003
First Named Inventor	Brent Russell Phillips
Art Unit	3731
Examiner Name	Not Yet Assigned
Attorney Docket Number	AUS920030563US1

**U. S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.